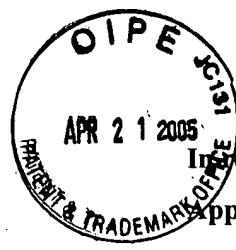


04-22-05

IWS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inside Application Serial No. 10/821,771

Applicants: Seiler et al.

Group Art Unit: Unknown

Filing Date: April 9, 2004

Title: HEAT EXCHANGER WITH FLOW CIRCUITING END CAPS

Attorney Docket No.: 60680-0793

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

In connection with the U.S. patent application identified above, Applicant wishes to draw to the Examiner's attention the following art. This Statement is being filed under Section 1.97(b). Enclosed herewith is a List of References Cited by Applicant (PTO-1449).

A copy of each prior art reference is provided herewith. It is requested that each of these items be considered, made of record in the prosecution history of the application, and appear among the references cited on any patent to issue from the application.

A concise explanation of the relevance of each listed item not in the English language is also enclosed.

Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Official Action in the above-identified matter, in accordance with 37 C.F.R. §1.97(b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. §1.97(c)(2) and 37 C.F.R. §1.17(p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400.

EXECUTED at Mississauga, Ontario, Canada, this 15th day of April, 2005.

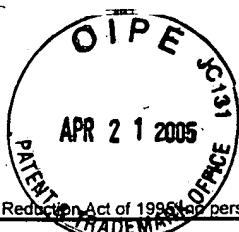
04/25/2005 BABRAHA1 00000027 132400 10821771

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Respectfully submitted,

By: Peter R. Hammond  
Peter R. Hammond  
Registration No. 27,524

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APR 21 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

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**Complete if Known**

Application Number	10/821,771
Filing Date	April 9, 2004
First Named Inventor	SEILER ET AL.
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	60680-793

## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> ~Number <sup>4</sup> ~Kind Code <sup>5</sup> (if known)				
		DE 202 07 168 U1	05/07/2002	SANDER KG GMBH		
		DE 297 15 878 U1	09/04/1997	SANDER KG GMBH		
		DE 297 22 841 U1	12/24/1997	SANDER KG GMBH		

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): SEILER ET AL.

Docket No.

60,680-793

Application No.  
10/821,771Filing Date  
04/09/2004O I P E  
P A T E N T & T R A D E M A R K S O U R C E  
APR 21 2005  
Examiner  
UNASSIGNEDCustomer No.  
26127Group Art Unit  
UNASSIGNED

Invention: HEAT EXCHANGER WITH FLOW CIRCUITING END CAPS

I hereby certify that the following correspondence:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97, FORM PTO-1449 and COPIES OF CITED REFERENCES**

(Identify type of correspondence)

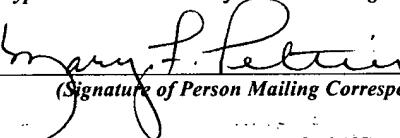
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